1.5 DEVELOPMENT OF THE DEPTH AVERAGED GOVERNING EQUATIONS

General Considerations

- We are developing a hierarchy of equations averaged over various scales.
 - Molecular Scale:
 - Navier-Stokes Equation + Continuity Equation
 - *u*,*v*,*w*,*p*
 - Valid for all flows down to the molecular scale
 - We can not resolve down to the viscous dissipation scale for normal computations
 - Turbulent Scale:
 - Reynolds Equation + Continuity Equation
 - $\overline{u}, \overline{v}, \overline{w}, \overline{p}$ and $\overline{u'u'}, \overline{u'v'}, \overline{u'w'}, \overline{v'v'}, \overline{v'w'}, \overline{w'w'}$
 - Need to select a turbulence closure model (i.e. a set of *constitutive* relationships)
 - Valid for all flows down to the turbulent averaging scale (in time *T* and related space scales)

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- Let us examine spatial averaging over the depth dimension \rightarrow depth averaged flow
 - River and channel flows
 - Estuarine and coastal ocean flows



- A key assumption for depth averaging is that the *flow in the vertical direction is small*.
- This implies that all terms in the *z*-direction Reynolds Equation are *small* compared to the gravity and pressure terms.
 - Thus the z-direction Reynolds Equation reduces to

$$\frac{\partial \bar{p}}{\partial z} = -\bar{\rho}g \tag{1.5.1}$$

• This implies that the pressure distribution over the vertical is hydrostatic.

Depth Integrated Continuity Equation

• Consider the geoid to be defined at z=0, the free surface (water-air interface) at $z=\eta$, and the bottom (water-sediment interface) at z=-h.



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• Depth averaged velocities are defined as:

$$\tilde{u} = \frac{1}{H} \int_{-h}^{h} \bar{u} dz \tag{1.5.2}$$

$$\tilde{v} \equiv \frac{1}{H} \int_{-h}^{\eta} \bar{v} dz \tag{1.5.3}$$

• Where total water column height is defined as:

$$H = \eta + h \tag{1.5.4}$$

• Flow rate over the vertical is defined as:

$$q_x \equiv \int_{-h}^{\eta} \bar{u} dz = \tilde{u} H \tag{1.5.5}$$

$$q_{y} \equiv \int_{-h}^{\eta} \bar{v} dz = \tilde{v} H$$
(1.5.6)

• Assuming incompressible turbulent time averaged flow, the appropriate form of the continuity equation is:

$$\frac{\partial \bar{u}}{\partial x} + \frac{\partial \bar{v}}{\partial y} + \frac{\partial \bar{w}}{\partial z} = 0$$
(1.5.7)

• Now let's vertically average:

$$\frac{1}{H}\int_{-h}^{\eta}\frac{\partial \bar{u}}{\partial x}dz + \frac{1}{H}\int_{-h}^{\eta}\frac{\partial \bar{v}}{\partial y}dz + \frac{1}{H}\int_{-h}^{\eta}\frac{\partial \bar{w}}{\partial z}dz = 0$$
(1.5.8)

• Multiplying through by *H* and evaluating the last integral:

$$\int_{-h(x, y)}^{\eta(x, y, t)} \frac{\partial \bar{u}}{\partial x} dz + \int_{-h(x, y)}^{\eta(x, y, t)} \frac{\partial \bar{v}}{\partial y} dz + \bar{w}(\eta) - \bar{w}(-h) = 0$$
(1.5.9)

• Using Leibnitz's Rule, we know that:

$$\int_{A(x, y)}^{B(x, y, t)} \frac{\partial f}{\partial x} dz = \frac{\partial}{\partial x} \int_{A}^{B} f dz - f \big|_{z = B} \frac{\partial B}{\partial x} + f \big|_{z = A} \frac{\partial A}{\partial x}$$
(1.5.10)

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• Substituting in for the remaining integral terms using Leibnitz's Rule and re-arranging:

$$\frac{\partial}{\partial x}\int_{-h}^{\eta} \bar{u}dz + \frac{\partial}{\partial y}\int_{-h}^{\eta} \bar{v}dz - \left[\bar{u}\frac{\partial\eta}{\partial x} + \bar{v}\frac{\partial\eta}{\partial y} - \bar{w}\right]_{z=\eta} + \left[\bar{u}\frac{\partial(-h)}{\partial x} + \bar{v}\frac{\partial(-h)}{\partial y} - \bar{w}\right]_{z=-h} = 0$$
(1.5.11)

• Velocities at the free surface and bottom may be expressed as:

$$\overline{w}\big|_{z=\eta} = \frac{D\eta}{Dt}\Big|_{z=\eta} = \frac{\partial\eta}{\partial t} + \overline{u}\big|_{z=\eta}\frac{\partial\eta}{\partial x} + \overline{v}\big|_{z=\eta}\frac{\partial\eta}{\partial y}$$
(1.5.12)

$$\overline{w}\big|_{z=-h} = \frac{D(-h)}{Dt}\Big|_{z=-h} = \frac{\partial(-h)}{\partial t} + \overline{u}\big|_{z=-h} \frac{\partial(-h)}{\partial x} + \overline{v}\big|_{z=-h} \frac{\partial(-h)}{\partial y}$$
(1.5.13)

• Re-writing above and noting that $\frac{\partial h(x, y)}{\partial t} = 0$

$$-\left[\bar{u}\frac{\partial\eta}{\partial x} + \bar{v}\frac{\partial\eta}{\partial y} - \bar{w}\right]_{z=\eta} = \frac{\partial\eta}{\partial t}$$
(1.5.14)

$$\left[\bar{u}\frac{\partial(-h)}{\partial x} + \bar{v}\frac{\partial(-h)}{\partial y} - \bar{w}\right]_{z = -h} = 0$$
(1.5.15)

• Substituting these expressions into the continuity equation we have:

$$\frac{\partial \eta}{\partial t} + \frac{\partial}{\partial x} \int_{-h}^{\eta} \bar{u} dz + \frac{\partial}{\partial y} \int_{-h}^{\eta} \bar{v} dz = 0$$
(1.5.16)

• However by definition we have:

$$\int_{-h}^{\eta} \bar{u}dz \equiv q_x \tag{1.5.17}$$

$$\int_{-h}^{\eta} \bar{v} dz \equiv q_y \tag{1.5.18}$$

• Again substituting:

$$\frac{\partial \eta}{\partial t} + \frac{\partial q_x}{\partial x} + \frac{\partial q_y}{\partial y} = 0$$
(1.5.19)

• Noting that $q_x = H\tilde{u}$, $q_y = H\tilde{v}$ where \tilde{u}, \tilde{v} equal the vertically averaged velocities:

$$\frac{\partial \eta}{\partial t} + \frac{\partial (\tilde{u}H)}{\partial x} + \frac{\partial (\tilde{v}H)}{\partial y} = 0$$
(1.5.20)

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Depth Integrated Reynolds Equations

• Consider the *x*-direction Reynolds equation:

$$\frac{\partial \bar{u}}{\partial t} + \bar{u}\frac{\partial \bar{u}}{\partial x} + \bar{v}\frac{\partial \bar{u}}{\partial y} + \bar{w}\frac{\partial \bar{u}}{\partial z} = -\frac{1}{\rho_0}\frac{\partial \bar{p}}{\partial x} + \frac{1}{\rho_0}\frac{\partial \tau_{xx}}{\partial x} + \frac{1}{\rho_0}\frac{\partial \tau_{yx}}{\partial y} + \frac{1}{\rho_0}\frac{\partial \tau_{zx}}{\partial z}$$
(1.5.21)

where
$$\tau_{xx}^{t/m} = \mu \frac{\partial \bar{u}}{\partial x} - \rho_0 \overline{u'u'}$$
 (1.5.22)

$$\tau_{yx}^{t/m} = \mu \frac{\partial \bar{u}}{\partial y} - \rho_0 \overline{u'v'}$$
(1.5.23)

$$\tau_{zx}^{t/m} = \mu \frac{\partial \bar{u}}{\partial z} - \rho_0 \overline{u'w'}$$
(1.5.24)

• Now consider the hydrostatic pressure equation:

$$\frac{\partial \bar{p}}{\partial z} = -\bar{\rho}g \tag{1.5.25}$$

• Integrating this equation between the free surface at $z=\eta$ and some level z

$$\int_{p_s(x, y)}^{\overline{p}(x, y, z)} \partial \overline{p} = -\int_{z=\eta}^{z} \overline{\rho} g \partial z$$
(1.5.26)

where
$$p_s$$
 = pressure at the free surface (1.5.27)

• Assuming that density is constant:

$$\overline{p} - p_s = -(\rho g z - \rho g \eta) \tag{1.5.28}$$

$$\overline{p} = p_s + \rho g \eta - \rho g z \tag{1.5.29}$$

• The pressure gradient term in the x-direction Reynolds equation becomes

$$-\frac{1}{\rho}\frac{\partial\bar{p}}{\partial x} = -\frac{1}{\rho}\frac{\partial p_s}{\partial x} - g\frac{\partial\eta}{\partial x}$$
(1.5.30)

• Assuming that surface pressure does not vary spatially:

$$-\frac{1}{\rho}\frac{\partial\bar{p}}{\partial x} = -g\frac{\partial\eta}{\partial x}$$
(1.5.31)

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• Thus the *x*-direction Reynolds equation with the assumptions of constant density flow, and hydrostatic pressure distribution and constant atmospheric pressure becomes:

$$\frac{\partial \bar{u}}{\partial t} + \bar{u}\frac{\partial \bar{u}}{\partial x} + \bar{v}\frac{\partial \bar{u}}{\partial y} + \bar{w}\frac{\partial \bar{u}}{\partial z} = -g\frac{\partial \eta}{\partial x} + \frac{1}{\rho}\frac{\partial \tau_{xx}}{\partial x} + \frac{1}{\rho}\frac{\partial \tau_{yx}}{\partial y} + \frac{1}{\rho}\frac{\partial \tau_{zx}}{\partial z} + \frac{1}{\rho}\frac{\partial \tau_{zx}}{\partial z}$$
(1.5.32)

• Now add \bar{u} times the continuity equation to the above equation:

$$\frac{\partial \bar{u}}{\partial t} + \bar{u}\frac{\partial \bar{u}}{\partial x} + \bar{v}\frac{\partial \bar{u}}{\partial y} + \bar{w}\frac{\partial \bar{u}}{\partial z} + \bar{u}\frac{\partial \bar{u}}{\partial x} + \bar{u}\frac{\partial \bar{v}}{\partial y} + \bar{u}\frac{\partial \bar{w}}{\partial z} = -g\frac{\partial \eta}{\partial x} + \frac{1}{\rho}\left\{\frac{\partial \tau_{xx}}{\partial x} + \frac{1}{\rho}\frac{\partial \tau_{yx}}{\partial y} + \frac{1}{\rho}\frac{\partial \tau_{zx}}{\partial z}\right\}$$
(1.5.33)

• Re-arranging

$$\frac{\partial \bar{u}}{\partial t} + \frac{\partial \bar{u}^2}{\partial x} + \frac{\partial (\bar{u}\bar{v})}{\partial y} + \frac{\partial (\bar{u}\bar{w})}{\partial z} =$$
$$-g\frac{\partial \eta}{\partial x} + \frac{1}{\rho} \left\{ \frac{\partial \tau_{xx}}{\partial x}^{t/m} + \frac{1}{\rho} \frac{\partial \tau_{yx}}{\partial y}^{t/m} + \frac{1}{\rho} \frac{\partial \tau_{zx}}{\partial z}^{t/m} \right\}$$
(1.5.34)

• Vertically averaging these equations:

$$\int_{-h}^{\eta} \frac{\partial \bar{u}}{\partial t} dz + \int_{-h}^{\eta} \frac{\partial \bar{u}^{2}}{\partial x} dz + \int_{-h}^{\eta} \frac{\partial (\bar{u}\bar{v})}{\partial y} dz + \int_{-h}^{\eta} \frac{\partial (\bar{u}\bar{w})}{\partial z} dz =$$
$$-g \int_{-h}^{\eta} \frac{\partial \eta}{\partial x} dz + \int_{-h}^{\eta} \frac{\partial \tau_{xx}}{\partial x} dz + \int_{-h}^{\eta} \frac{\partial \tau_{yx}}{\partial y} dz + \int_{-h}^{\eta} \frac{\partial \tau_{yx}}{\partial z} dz = (1.5.35)$$

• Apply Leibnitz's rule as follows:

$$\int_{-h(x, y)}^{\eta(x, y, t)} \frac{\partial f}{\partial t} dz = \frac{\partial}{\partial t} \int_{-h}^{\eta} f dz - f \big|_{z = \eta} \frac{\partial \eta}{\partial t} + f \big|_{z = -h} \frac{\partial (-h)}{\partial t}$$
(1.5.36)

where
$$f = \bar{u}, \bar{u}^2, \bar{u}\bar{v}, \eta, \tau_{xx}, \tau_{yx}$$
 (1.5.37)

• Furthermore, we note that:

$$\int_{-h}^{\eta} \frac{\partial g}{\partial z} dz = \int_{-h}^{\eta} \partial g = g|_{z=\eta} - g|_{z=-h}$$
(1.5.38)

where
$$g = \bar{u}\bar{w}, \tau_{zx}$$
 (1.5.39)

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• Substituting and re-arranging we have:

$$\frac{\partial}{\partial t} \int_{-h}^{\eta} \bar{u} dz + \frac{\partial}{\partial x} \int_{-h}^{\eta} \bar{u}^{2} dz + \frac{\partial}{\partial y} \int_{-h}^{\eta} \bar{u} \bar{v} dz$$

$$- \left[\bar{u} \left(\frac{\partial \eta}{\partial t} + \bar{u} \frac{\partial \eta}{\partial x} + \bar{v} \frac{\partial \eta}{\partial y} - \bar{w} \right) \right]_{z=\eta} + \left[\bar{u} \frac{\partial(-h)}{\partial t} + \bar{u} \frac{\partial(-h)}{\partial x} + \bar{v} \frac{\partial(-h)}{\partial y} - \bar{w} \right]_{z=-h} =$$

$$- g \frac{\partial}{\partial x} \int_{-h}^{\eta} \eta dz + g \eta \frac{\partial \eta}{\partial x} - g \eta \frac{\partial(-h)}{\partial x} + \frac{1}{\rho} \frac{\partial}{\partial x} \int_{-h}^{\eta} \tau_{xx}^{t/m} dz$$

$$+ \frac{1}{\rho} \frac{\partial}{\partial y} \int_{-h}^{\eta} \tau_{yx}^{t/m} dz - \frac{1}{\rho} \left[\tau_{xx}^{t/m} \frac{\partial \eta}{\partial x} + \tau_{yx}^{t/m} \frac{\partial \eta}{\partial y} - \tau_{zx}^{t/m} \right]_{z=\eta}$$

$$\frac{1}{\rho} \left[\tau_{xx}^{t/m} \frac{\partial(-h)}{\partial x} + \tau_{yx}^{t/m} \frac{\partial(-h)}{\partial y} - \tau_{zx}^{t/m} \right]_{z=-h}$$
(1.5.40)

• However as we noted before:

$$\left[\frac{\partial \eta}{\partial t} + \bar{u}\frac{\partial \eta}{\partial x} + \bar{v}\frac{\partial \eta}{\partial y} - \bar{w}\right]_{z=\eta} = 0$$
(1.5.41)

$$\left[\frac{\partial(-h)}{\partial t} + \bar{u}\frac{\partial(-h)}{\partial x} + \bar{v}\frac{\partial(-h)}{\partial y} - \bar{w}\right]_{z = -h} = 0$$
(1.5.42)

• By performing a stress balance at the surface, it can be shown that τ_x^s = applied surface stress in the *x*-direction *and* parallel to the surface.

$$\tau_x^s = \left[-\tau_{xx}^{t/m} \frac{\partial \eta}{\partial x} + \tau_{yx}^{t/m} \frac{\partial \eta}{\partial y} - \tau_{zx}^{t/m} \right]_{z = \eta}$$
(1.5.43)

• Similarly at the bottom:

$$\tau_x^b = -\left[\tau_{xx}^{t/m}\frac{\partial(-h)}{\partial x} + \tau_{yx}^{t/m}\frac{\partial(-h)}{\partial y} - \tau_{zx}^{t/m}\right]_{z=-h}$$
(1.5.44)

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• Substituting reduces the *x*-momentum equation to:

$$\frac{\partial}{\partial t} \int_{-h}^{\eta} \bar{u} dz + \frac{\partial}{\partial x} \int_{-h}^{\eta} \bar{u}^{2} dz + \frac{\partial}{\partial y} \int_{-h}^{\eta} \bar{u} \bar{v} dz =$$

$$-g \frac{\partial}{\partial x} \int_{-h}^{\eta} \eta dz + g \eta \frac{\partial \eta}{\partial x} - g \eta \frac{\partial (-h)}{\partial x} + \frac{1}{\rho} \frac{\partial}{\partial x} \int_{-h}^{\eta} \tau_{xx}^{t/m} dz + \frac{1}{\rho} \frac{\partial}{\partial y} \int_{-h}^{\eta} \tau_{yx}^{t/m} dz + \frac{\tau_{x}}{\rho} \frac{\tau_{x}}{\rho}$$
(1.5.45)

• Let us define the depth averaged variable as

$$\tilde{\alpha} = \frac{1}{H} \int_{-h}^{\eta} \bar{\alpha} dz$$
(1.5.46)

• The Reynolds averaged quantity is then defined as the sum of the depth averaged variable and the deviation from the depth averaged variable

$$\overline{\alpha} \equiv \tilde{\alpha} + \hat{\alpha} \tag{1.5.47}$$

• Thus we define velocities in terms of the depth averaged quantity and the deviation from the depth averaged quantity



• Thus spatial averaging is applied as:

$$\int_{-h}^{\eta} \bar{u} dz \equiv H \tilde{u}$$
(1.5.48)

$$\int_{-h}^{\eta} \bar{v} dz \equiv H \tilde{u}$$
(1.5.49)

• Furthermore we let:

$$\bar{u}(x, y, z, t) = \tilde{u}(x, y, t) + \hat{u}(x, y, z, t)$$
(1.5.50)

$$\bar{v}(x, y, z, t) = \tilde{v}(x, y, t) + \hat{v}(x, y, z, t)$$
(1.5.51)

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• This implies that:

$$\int_{-h}^{\eta} \hat{u} dz = 0 \text{ and } \int_{-h}^{\eta} \hat{v} dz = 0$$
(1.5.52)

• Hence

$$\int_{-h}^{\eta} \bar{u}^2 dz = \int_{-h}^{\eta} (\tilde{u}^2 + \partial \tilde{u} \hat{u} + \hat{u}^2) dz$$
(1.5.53)

$$\int_{-h}^{\eta} \bar{u}^2 dz = \tilde{u}^2 \int_{-h}^{\eta} dz + 2\tilde{u} \int_{-h}^{\eta} \hat{u} dz + \int_{-h}^{\eta} \hat{u}^2 dz$$
(1.5.54)

$$\int_{-h}^{\eta} \bar{u}^2 dz = H \tilde{u}^2 + \int_{-h}^{\eta} \hat{u}^2 dz$$
(1.5.55)

• Similarly

$$\int_{-h}^{\eta} \bar{u}\bar{v}dz = \int_{-h}^{\eta} (\tilde{u}\tilde{v} + \tilde{u}\hat{v} + \hat{u}\tilde{v} + \hat{u}\hat{v})dz = \tilde{u}\tilde{v}H + \int_{-h}^{\eta} \hat{u}\hat{v}dz$$
(1.5.56)

• Finally

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$$\int_{-h}^{\eta} \eta dz = \eta \int_{-h}^{\eta} dz = \eta H$$
(1.5.57)

• Substituting and re-arranging:

$$\frac{\partial (H\tilde{u})}{\partial t} + \frac{\partial (H\tilde{u}^2)}{\partial x} + \frac{\partial (H\tilde{u}^2)}{\partial y} = g\frac{\partial (\eta H)}{\partial x} + g\eta \frac{\partial h}{\partial x} + g\eta \frac{\partial h}{\partial x} + \frac{\partial}{\partial x} \int_{-h}^{\eta} \left(\frac{\tau_{xx}^{t/m}}{\rho} - \hat{u}^2\right) dz + \frac{\partial}{\partial y} \int_{-h}^{\eta} \left(\frac{\tau_{yx}^{t/m}}{\rho} - \hat{u}\hat{v}\right) dz + \frac{\tau_x^s}{\rho} - \frac{\tau_x^b}{\rho}$$
(1.5.58)

• Expanding the terms involving gravity:

$$-g\frac{\partial(\eta H)}{\partial x} + g\eta\frac{\partial\eta}{\partial x} + g\eta\frac{\partial h}{\partial x} = g\left[-\eta\frac{\partial H}{\partial x} - H\frac{\partial\eta}{\partial x} + \eta\frac{\partial(\eta+h)}{\partial x}\right]$$
(1.5.59)

$$-g\frac{\partial(\eta H)}{\partial x} + g\eta\frac{\partial\eta}{\partial x} + g\eta\frac{\partial h}{\partial x} = -gH\frac{\partial\eta}{\partial x}$$
(1.5.60)

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• We also note that the acceleration terms can be expanded as:

$$\frac{\partial(H\tilde{u})}{\partial t} = \tilde{u}\frac{\partial H}{\partial t} + H\frac{\partial \tilde{u}}{\partial t}$$
(1.5.61)

$$\frac{\partial(H\tilde{u})}{\partial t} = \tilde{u}\frac{\partial(\eta+h)}{\partial t} + H\frac{\partial\tilde{u}}{\partial t}$$
(1.5.62)

$$\frac{\partial (H\tilde{u})}{\partial t} = \tilde{u}\frac{\partial \eta}{\partial t} + H\frac{\partial \tilde{u}}{\partial t}$$
(1.5.63)

$$\frac{\partial (H\tilde{u}^2)}{\partial x} = \tilde{u}\frac{\partial (H\tilde{u})}{\partial x} + H\tilde{u}\frac{\partial \tilde{u}}{\partial x}$$
(1.5.64)

$$\frac{\partial (H\tilde{u}\tilde{v})}{\partial y} = \tilde{u}\frac{\partial (H\tilde{v})}{\partial y} + H\tilde{v}\frac{\partial\tilde{u}}{\partial y}$$
(1.5.65)

• Substituting in the gravity and acceleration term re-arrangements:

$$H\frac{\partial\tilde{u}}{\partial t} + H\tilde{u}\frac{\partial\tilde{u}}{\partial x} + H\tilde{v}\frac{\partial\tilde{u}}{\partial y} + \tilde{u}\left[\frac{\partial\eta}{\partial t} + \frac{\partial(H\tilde{u})}{\partial x} + \frac{\partial(H\tilde{v})}{\partial y}\right]$$
$$= -gH\frac{\partial\eta}{\partial x} + \frac{\partial}{\partial x}\int_{-h}^{\eta} \left(\frac{\tau_{xx}^{t/m}}{\rho} - \hat{u}^{2}\right)dz + \frac{\partial}{\partial y}\int_{-h}^{\eta} \left(\frac{\tau_{yx}^{t/m}}{\rho} - \hat{u}\hat{v}\right)dz + \frac{\tau_{x}^{s}}{\rho} - \frac{\tau_{x}^{b}}{\rho}$$
(1.5.66)

• It is clear that the depth averaged continuity equation is embedded in the previous equation and therefore drops out. Dividing through by H results in the depth averaged conservation of momentum equation in non-conservative form (refers to us having altered the form of the acceleration terms).

$$\frac{\partial \tilde{u}}{\partial t} + \tilde{u}\frac{\partial \tilde{u}}{\partial x} + \tilde{v}\frac{\partial \tilde{u}}{\partial y} = -g\frac{\partial \eta}{\partial x} + \frac{1}{H}\frac{\partial \sigma}{\partial y}\int_{-h}^{\eta} \left(\frac{\tau_{xx}^{t/m}}{\rho} - \hat{u}^2\right)dz + \frac{1}{H}\frac{\partial \sigma}{\partial y}\int_{-h}^{\eta} \left(\frac{\tau_{yx}^{t/m}}{\rho} - \hat{u}\hat{v}\right)dz + \frac{1}{H}\frac{\tau_{xx}^s}{\rho} - \frac{1}{H}\frac{\tau_{xx}^s}{\rho}$$
(1.5.67)

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• The Shallow Water Equations are collectively written as:

$$\frac{\partial \eta}{\partial t} + \frac{\partial (\tilde{u}H)}{\partial x} + \frac{\partial (\tilde{v}H)}{\partial y} = 0$$
(1.5.68)

$$\frac{\partial \tilde{u}}{\partial t} + \tilde{u}\frac{\partial u}{\partial x} + \tilde{v}\frac{\partial u}{\partial y} = -g\frac{\partial \eta}{\partial x} + \frac{1}{H}\frac{\partial u}{\partial x} + \tilde{v}\frac{\partial u}{\partial y} = -g\frac{\partial \eta}{\partial x} + \frac{1}{H}\frac{\partial u}{\partial x}\int_{-h}^{\eta} \left(\frac{\tau_{xx}^{t/m}}{\rho} - \hat{u}^2\right)dz + \frac{1}{H}\frac{\partial v}{\rho}\int_{-h}^{\eta} \left(\frac{\tau_{yx}^{t/m}}{\rho} - \hat{u}\hat{v}\right)dz + \frac{1}{H}\frac{\tau_x^s}{\rho} - \frac{1}{H}\frac{\tau_x^b}{\rho}$$
(1.5.69)
$$\frac{\partial \tilde{v}}{\partial t} + \tilde{u}\frac{\partial \tilde{v}}{\partial x} + \tilde{v}\frac{\partial \tilde{v}}{\partial y} = -g\frac{\partial \eta}{\partial y} + \frac{1}{H}\frac{\partial u}{\partial x}\int_{-h}^{\eta} \left(\frac{\tau_{yy}^{t/m}}{\rho} - \hat{v}^2\right)dz + \frac{1}{H}\frac{\tau_y^s}{\rho} - \frac{1}{H}\frac{\tau_y^b}{\rho}$$
(1.5.70)

- The Shallow Water Equations were established in 1775 by Laplace.
- The Momentum Conservation Statements are quite similar to the Reynolds equations with the following exceptions:
 - Variables are now depth averaged quantities.
 - The *z*-dimension has been eliminated.
 - There are convective inertia forces caused by the flow deviation from the depth averaged velocities \tilde{u}, \tilde{v} .
- These equations have built into them 3 levels of averaging:
 - Averaging over the molecular time/space scale
 - Averaging over the turbulent time/space scale
 - Averaging over the depth space scale
 - The latter two produce momentum transport terms that are intimately related to the convective terms.

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- There are now three mechanisms of momentum transfer built into these equations:
 - $\int_{-h}^{h} v \frac{\partial \bar{u}}{\partial x} dz$ type terms are the *viscous stresses* and represent the averaged effect of

molecular motions. These terms are necessary since we do not directly simulate the momentum transfer via molecular level collisions.

• $\int \overline{u'u'}dz$ type terms are the *turbulent Reynolds stresses* and represent the averaged

effect of momentum transfer due to turbulent fluctuations. These terms are necessary since we are not directly simulating momentum transfer via turbulent fluctuations.

• $\int \hat{u}\hat{u}dz$ type terms represent the spreading of momentum over the water column. This -h

process is known as *momentum dispersion*. These terms are necessary since we are no longer directly simulating this process via the actual depth varying velocity profiles. They spread momentum laterally.

- Momentum dispersion can be conceptualized by following a pollutant spread over the water column.
 - Non-depth average simulation at $t = t_0$



• Non-depth average simulation at $t = t_1$



• Depth averaging the result of the non-depth averaged simulation at $t = t_1$



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• Depth averaged simulation at $t = t_0$



• Depth averaged simulation at $t = t_1$ without dispersion terms



• Thus, dispersion terms are necessary!

- The Shallow Water equations greatly simplify flow computations in free surface water bodies.
 - Reduce the number of p.d.e.'s from 4 to 3.
 - Reduce the complexity of the variables

$$\tilde{u}(x, y, t), \tilde{v}(x, y, t), \eta(x, y, t)$$
 instead of (1.5.71)

$$\bar{u}(x, y, z, t), \bar{v}(x, y, z, t), \bar{w}(x, y, z, t), \bar{p}(x, y, z, t)$$
 (1.5.72)

- Built-in positioning of the free surface boundary which is typically unknown when applying the Reynolds equation.
- The Shallow Water equations include 10 additional unknowns as compared to the Navier-Stokes equations:
 - $(\overline{u'u'}), (\overline{u'v'}), (\overline{v'v'}) \rightarrow$ Lateral turbulent momentum diffusion
 - $\tilde{u}\hat{u}, \tilde{u}\hat{v}, \tilde{v}\hat{v} \rightarrow$ Lateral momentum dispersion related to vertical velocity profile
 - $\tau_x^s, \tau_y^s \rightarrow$ Applied free surface stress
 - $\tau_x^b, \tau_y^b \rightarrow$ Applied bottom stress. It is related to the vertical velocity profile, momentum transport through the water column, bottom roughness.

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- These 10 additional unknowns require that 10 *constitutive relationships* are provided in order to *close* the system.
- A very simple closure model for the combined lateral momentum diffusion (due to turbulence) and dispersion (due to averaging out vertical velocity profile) is:

$$\int_{-h}^{\eta} \left(\frac{\tau_{xx}^{t/m}}{\rho} - \hat{u}^2 \right) dz = E_{xx} \frac{\partial (H\tilde{u})}{\partial x}$$
(1.5.73)

$$\int_{-h}^{\eta} \left(\frac{\tau_{yy}^{t/m}}{\rho} - \hat{v}^2 \right) dz = E_{yy} \frac{\partial (H\tilde{v})}{\partial y}$$
(1.5.74)

$$\int_{-h}^{\eta} \left(\frac{\tau_{xy}^{t/m}}{\rho} - \hat{u}\hat{v} \right) dz = E_{xy} \left[\frac{\partial (H\tilde{u})}{\partial y} + \frac{\partial (H\tilde{v})}{\partial x} \right]$$
(1.5.75)

- E_{xx} , E_{yy} and E_{xy} are called the *eddy dispersion* coefficients.
- This model assumes that the dispersion process dominates the turbulent momentum diffusion process which dominates the molecular momentum diffusion process.
- In a typical gravity-driven open channel flow, the lateral momentum dispersion terms do not play a major role in the momentum balance equations they can be neglected.

• In cases where a strong vertical velocity profile exists (i.e. wind driven flow in deep water), the lateral dispersion terms may be important due to the \hat{u} , \hat{v} contributions. The importance of these terms is tied to the advective terms.



• In cases where very strong lateral gradients in the horizontal velocity profile exist (e.g. strong flow emerging into a receiving water body), the lateral dispersion terms may be important due to the u', v' contributions. The importance of these terms is related to the convective terms.



• The eddy dispersion model provides equations for 6 unknowns (since unknowns were consolidated). We still need constitutive relationships for 4 unknowns.

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- Surface stress is very small unless wind is blowing over the water. Wind stress relationships depend on sea surface roughness and 10 m wind speed. They are not related to the water speed.
- Bottom stress is closed by empirical relationships:

$$\frac{\tau_x^b}{\rho} = c_f (\tilde{u}^2 + \tilde{v}^2)^{1/2} \tilde{u}$$
(1.5.76)

$$\frac{\tau_y^b}{\rho} = c_f (\tilde{u}^2 + \tilde{v}^2)^{1/2} \tilde{v}$$
(1.5.77)

where

$$c_f =$$
friction factor (1.5.78)

$$c_f = \frac{1}{8} f_{DW} \rightarrow \text{Darcy Weisbach}$$
 (1.5.79)

$$c_f = \frac{g}{c^2} \rightarrow \text{Chezy}$$
 (1.5.80)

$$c_f = \frac{n^2 g}{h^{1/3}} \rightarrow \text{Manning}$$
 (1.5.81)